



CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I certify that the enclosed correspondence is being deposited on June 25, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to Commissioner for Patents, Washing, D.C. 20231.

Rachael L. Kahler
Legal Secretary

JONES VOLENTINE, L.L.C.
12200 SUNRISE VALLEY DRIVE
SUITE 150
RESTON, VA 20191

J.V. REF. NO.: ACT-001

CLIENTS REF. NO.: ACT-125

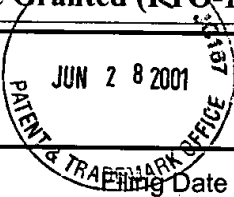
Serial No.: 09/835,863

Filed : 4/16/2001

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
ACT.001

In Re Application Of:
Dan A. Steinberg, et al.



Serial No.
09/835,863

Filing Date
04/16/2001

Examiner
Unknown

Group Art Unit
2877

Invention:

CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
06/08/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

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2877

Invention:

CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- | | |
|---|-----------------|
| <input checked="" type="checkbox"/> Utility application filing fee | <u>\$355.00</u> |
| <input type="checkbox"/> Design application filing fee | _____ |
| <input type="checkbox"/> Total number of independent claims = _____ | _____ |
| <input type="checkbox"/> Total number of claims = _____ | _____ |
| <input type="checkbox"/> Multiple dependent claims | _____ |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | _____ |
| <input type="checkbox"/> Fee for processing and retention of application | _____ |

Total completion of application fees \$420.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

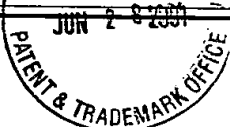
Total time extension fees _____

Total fees due \$420.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
ACT.001

In Re Application Of:
Dan A. Steinberg, et al.



Serial No.
09/835,863

Filing Date
04/16/2001

Examiner
Unknown

Group Art Unit
2877

Invention:

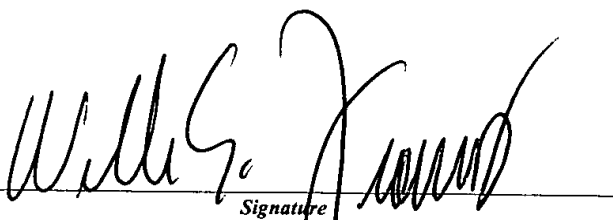
CONNECTOR STRUCTURE FOR INTEGRATED OPTIC WAVEGUIDE DEVICES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$420.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0238**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **06/25/2001**

William S. Francos, Esq. (Reg. No. 38,456)

**JONES VOLENTINE, L.L.C.
12200 SUNRISE VALLEY DRIVE
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Telephone: (703) 715-0870**

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,863	04/16/2001	Dan A. Steinberg	ACT.001

CONFIRMATION NO. 5599

FORMALITIES LETTER



OC000000006164285

JONES VOLENTINE, L.L.C.
SUITE 150
12200 SUNRISE VALLEY DRIVE
RESTON, VA 20191

Date Mailed: 06/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

07/03/2001 MBELETE1 00000036 500238 09835863

01 FC:201 355.00 CH
02 FC:205 65.00 CH